

Investment Advisor authorization form



Mail to: P.O. Box 9261, Des Moines, IA 50306-9261
Overnight: 8300 Mills Civic Pkwy, West Des Moines, IA 50266-3833
Phone: 1-866-747-3421 | **Email:** SecuritiesPi@sfgmembers.com

1. Contract information

Contract number		Additional contract number		Additional contract number	
Owner's name (first, middle initial, last)					
Social Security number/EIN				DOB (mm/dd/yyyy)	
Joint Owner's name (first, middle initial, last)					
Social Security number/EIN				DOB (mm/dd/yyyy)	
Non-Natural Owner				Tax Identification number	
Street address				Apartment/Suite number	
City		State	ZIP	Phone number	

2. Investment Advisor information

Registered Investment Advisor (RIA) name			RIA CRD Number		
RIA street address				Apartment/Suite number	
City		State	ZIP	Phone number	
Email address				Fax number	
Investment Advisor Representative (IAR) name (first, middle initial, last name)			IAR CRD number		

3. Advisor authorization

Investment transfer, premium allocation, and investment direction authorization.

I/We authorize Midland National Life Insurance Company to release contract information to the Investment Advisor and to comply with written, telephone, internet or faxed instructions from the Investment Advisor listed for the Contract. I/We further authorize Midland National to release contract information to authorized named employees of the Investment Advisor's Firm and to comply with written, telephone, internet or faxed instructions from authorized named employees of the Investment Advisor's Firm.

NOT FDIC/NCUA INSURED, MAY LOSE VALUE INCLUDING LOSS OF PRINCIPAL, NO BANK/ CU GUARANTEE, NOT A DEPOSIT, NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY.

4. Waiver of written confirmation

Your Investment Advisor may provide consolidated financial reports for you. Please complete this section only after you have confirmed that your Investment Advisor provides these services.

Owner(s)'s initials

I/We understand that Rule 10b-10 under the Securities Exchange Act, as amended, requires that all transactions involving my/our annuity contract(s) be confirmed in writing to me/us. In lieu of receiving such written confirmation from Midland National, I/We expect and will rely on the Investment Advisor to prepare consolidated financial reports for me/us and that my/our annuity contract(s) will be included in such reports. I/We acknowledge that by waiving my/our right to written confirmation from Midland National, I/We release Midland National from any loss incurred by me/us as a result of the Investment Advisor providing this information to me/us.

5. Investment Advisor fee payment authorization

Your Investment Advisor may provide consolidated financial reports for you. Please complete this section only after you have confirmed that your Investment Advisor provides these services.

Owner(s)'s initials

I/We authorize Midland National to comply with requests by the Investment Advisor to liquidate a given dollar amount in my/our annuity contract(s), to pay Investment Advisory Fees. Checks will be made payable to the Investment Advisor. I/We acknowledge and understand that:

- Midland National has no responsibility or liability to determine that instructions received from the Investment Advisor comply with such an agreement, including frequency or reasonableness of Investment Advisory Fees withdrawal amounts.
- Investment Advisory Fees will be deducted pro-rata from the funds in the variable separate investment options of my/our contract.

Please select one if you authorized Investment Advisor Fee Payments:

IRA, Roth IRA or SEP IRA Annuity

I declare that the annuity contract(s) is solely responsible for the Investment Advisory Fees set forth in a separate agreement, which I have executed with the Investment Advisor. Midland National will not report Investment Advisory fee liquidations for these contract types to the IRS. However, Midland National reserves the right to change this tax reporting position at any time upon written notice to the Owner. Such a change will not alter future payments authorized under this form.

Non-Qualified Annuity

I/We understand that liquidation of contract units to pay Investment Advisory fees for non-qualified annuity contracts is considered to be constructive income to the extent of any gain distributed, and therefore may be subject to income tax and to penalty tax prior to attaining age 59½. I/We do not want income tax withheld from these withdrawals and understand that any tax liability is my/our sole responsibility.

6. Disclaimer and release

I/We understand and agree that:

- Midland National is not affiliated with and does not endorse any Investment Advisor.
- Midland National does not provide legal or tax advice. Please consult with and rely upon your legal and tax advisors.
- I/We agree to hold harmless Midland National and its officers, directors and employees from and against any claims, liabilities, losses, expenses (including reasonable attorney's fees), or alleged claims relating to the value of my/our annuity contract with respect to transactions or instructions initiated by my/our Investment Advisor.
- Midland National shall have no responsibility to determine the appropriateness or suitability of any transaction or instructions initiated by Investment Advisor.
- Midland National does not make any representation or warranty, by accepting instructions concerning the tax treatment of payment of Investment Advisory fees under the Internal Revenue Code of 1986, as amended or otherwise.
- Midland National has no responsibility or liability for any taxes, penalties, and/or interest that may be assessed by the Internal Revenue Service or other administrative tribunal or court arising out of this Investment Advisor authorization.
- I/We hereby release Midland National from any taxes, penalties, and/or interest arising out of any Investment Advisor initiated transfer(s) or Investment Advisory Fee withdrawals.

7. Signatures

By signing below, I certify and acknowledge that the information provided on this form is correct.

Taxpayer certification

Under penalty of perjury, my signature certifies that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me);
2. I am not subject to backup withholding because (a) I am exempt from backup withholding, (b) I have not been notified by the Internal Revenue Service that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding;
3. I am a U.S. citizen or U.S. resident alien.

CA Residents: for your protection California law requires the following to appear on this form:

Any person who knowingly presents false or fraudulent information to obtain or amend insurance coverage or to make a claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

Owner/Assignee's signature	Date signed (mm/dd/yyyy)
<input type="text"/>	<input type="text"/>
Joint owner's signature (if applicable)	Date signed (mm/dd/yyyy)
<input type="text"/>	<input type="text"/>

If a spousal signature is not provided, you are stating that you are not married.

Spouse's signature*	Date signed (mm/dd/yyyy)
<input type="text"/>	<input type="text"/>
Investment Advisor's signature	Date signed (mm/dd/yyyy)
<input type="text"/>	<input type="text"/>

* If this transaction is subject to a community property interest, we strongly recommend that you obtain your spouse's signature on the line above to document his/her consent to this transaction. States that recognize community property interests in property held by married persons include Alaska, Arizona, California, Idaho, Louisiana, Nevada, New Mexico, Texas, Washington, and Wisconsin. You understand and agree that Midland National may presume that no community property interest exists if you have not obtained your spouse's signature above. Further, you understand and agree that Midland National has no duty to inquire further about any such community property interest. As a result, you agree to indemnify and hold Midland National harmless from any consequences relating to community property interests and this transaction.

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