IndexMax ADV® 5 & 7 fixed index annuity state availability and forms chart

Issued by Midland National® Life Insurance Company

Effective July 1, 2024

Forms information

Annuity allocation form (33240Y):

• Required for all annuity sales.

Client advisor authorization form (32041Y):

Required for all annuity sales.

Index disclosure supplement (26091Y-2):

• Required for all annuity sales.

Annuity suitability forms:

- Consumer profile form (32906Y): Required for all annuity sales.
- Comparison of annuity contracts form' (32907Y): Required for all annuity to annuity replacement transactions in all states except AR and NV.
- Producer disclosure form' (32931): Required for all annuity sales in the states of AK, AL, AR, AZ, CO, CT, DE, FL, GA, HI, IA, ID, IL, IN, KS, KY, MA, MD, ME, MI, MS, MT, NC, ND, NE, NH, NM, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VA, VT, WA, WI, WV, and WY. We will accept either our company version or other created versions of the form.
- Insurance Agent Annuity Sale Disclosure' (32931-MN): Required for all annuity sales in the state of MN.
- **Producer best interest attestation form' (32921Y):** Required for all annuity sales in the states of AK, AL, AR, AZ, CO, CT, DE, FL, GA, HI, IA, ID, IL, IN, KS, KY, MA, MD, ME, MI, MN, MS, MT, NC, ND, NE, NH, NM, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VA, VT, WA, WI, WV, and WY.
- 1. Form is not required if Registered Investment Advisor delegated review unless fund source is internal replacement in which case the Consumer profile form and applicable Comparison of annuity contracts form or state Disclosure comparison form must be submitted.

State specific forms information

• Alabama Arbitration Agreement (12538Y-01): Required for all annuity sales.

Arkansas:

 Replacement comparison disclosure statement (12338-AR): Required for internal annuity to annuity replacement transactions only.

California:

- CA elder disclosure (7572Y): Required if owner or annuitant's age is 65 or older.
- CA home visit form (9299Y): Required to be sent to clients age 65 and older prior to first home visit.
- Medi-cal eligibility written disclosure (35574): Required by any agent who offers or sells any financial product on the basis of its treatment under the Medi-Cal program.

Florida:

- Replacement form (6153-A): Required upon client request.
- Replacement forms (6779-FL and 6153): Required for internal replacements.

- Replacement form (6779-KS-A): Required for internal replacements.
- Single premium disclosure form (6778-KS): Required for all annuity sales.

Replacement Comparison disclosure statement (12338-NV): Required for internal annuity to annuity replacement transactions only.

Ohio:

• Single premium disclosure form (6778-OH): Required for all annuity sales.

- If the owner(s) or annuitant(s) has a birthday (prior to the Contract being issued) that causes their age to be higher than the maximum issue age for that fixed annuity, Midland National will not issue the policy.
- Non-qualified stretch: Not available.
- Inherited IRAs: Not available.
- **403(b):** Transfer/Rollover business is accepted.

IndexMax ADV 5		IndexMax ADV 7	
Standard disclosure form	32908Y	Standard disclosure form	32936Y-1
Availability is subject to change.		CA, DE	32936Y-B

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New updates are listed in red

IndexMax ADV S & 7-year		New updates are listed in red			
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*A replacement notice is required if the applicant owns an annuity OR life insurance policy. For Colorado: If a resident purchases a life insurance or annuity contract outside the state of Colorado involving a replacement, the issuing company must receive the Colorado replacement form along with the replacement form required for the state in which the application is signed. If that replacement form is the same version that Colorado uses, only one replacement form is required.